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(REV. 5-93)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTORNEY'S DOCKET NUMBER
10191/1388**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/581101INTERNATIONAL APPLICATION NO.
PCT/DE98/03517INTERNATIONAL FILING DATE
(30.11.98)
30 November 1998PRIORITY DATE CLAIMED
(09.12.97)
9 December 1997

TITLE OF INVENTION

A METHOD FOR COORDINATING NETWORK COMPONENTS

APPLICANT(S) FOR DO/EO/US

RODE, Detlef and ZURMUEHL, Uwe.

Applicants herewith submit to the United States Designated/Elected Office (DO/EO/US) the following items and other information

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) immediately rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (Unsigned)
10. ☒ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information: Copies of International Search Report, International Preliminary Examination Report and PCT/RO/101.

2L302699478

U.S. APPLICATION NO. 09/581101		INTERNATIONAL APPLICATION NO. PCT/DE98/03517		ATTORNEY'S DOCKET NUMBER 10191/1388	
17. <input checked="" type="checkbox"/> The following fees are submitted: Basic National Fee (37 CFR 1.492(a)(1)-(5)): Search Report has been prepared by the EPO or JPO \$840.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) \$670.00 No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) \$750.00 Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$970.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) \$96.00				CALCULATIONS PTO USE ONLY	
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$840	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
Claims	Number Filed	Number Extra	Rate		
Total Claims	7 - 20 =	0	X \$18.00	\$	
Independent Claims	1 - 3 =	0	X \$78.00	\$ 0	
Multiple dependent claim(s) (if applicable)			+ \$60.00	\$	
TOTAL OF ABOVE CALCULATIONS =				\$840	
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).				\$	
SUBTOTAL =				\$840	
Processing fee of \$130.00 for furnishing the English translation later the <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
TOTAL NATIONAL FEE =				\$840	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$	
TOTAL FEES ENCLOSED =				\$840	
				Amount to be refunded	\$
				charged	\$
a. <input type="checkbox"/> A check in the amount of \$_____ to cover the above fees is enclosed. b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>11-0600</u> in the amount of \$840.00 to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>11-0600</u> . A duplicate copy of this sheet is enclosed.					
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. <i>By: Richard L. Mayer (Reg. No. 41,172)</i>					
SEND ALL CORRESPONDENCE TO:					
Kenyon & Kenyon One Broadway New York, New York 10004			<i>Richard L. Mayer</i> SIGNATURE		
			Richard L. Mayer, Reg. No. 22,490 NAME		
			<u>6/8/00</u> DATE		

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s) : Detlef RODE et al.
Serial No. : To Be Assigned
Filed : Herewith
For : A METHOD FOR COORDINATING NETWORK
COMPONENTS

Examiner : To Be Assigned
Art Unit : To Be Assigned

Assistant Commissioner
for Patents
Washington, D.C. 20231

PRELIMINARY AMENDMENT

SIR:

Kindly amend the above-identified application before
examination, as set forth below.

IN THE SPECIFICATION:

Please amend the specification as follows.

On page 1, delete lines 1-2, and insert:

--FIELD OF THE INVENTION--.

On page 1, line 4, delete "for".

On page 1, line 5, change "components, at" to
--components. At--.

On page 1, line 6, change "being" to --is--.

On page 1, line 7, delete "which".

On page 1, line 9, change "the" to --an--.

On page 1, line 10, change "plane." to --plane, as

described in European Published Patent Application No. 0 399 491.--.

On page 1, before line 12, insert:

--BACKGROUND INFORMATION

European Published Patent Application No. 0 399 491 further describes the establishment of a communications connection between the first logical component and the second logical component, and the transmission of an information message across the established communications connection from the second logical component to the first logical component. The information message contains information regarding the current application status of the second logical component, as well as a comparison of the information of the transmitted information in the first logical component with corresponding information available at the first logical component.--.

On page 1, line 12, after "The" insert --present--.

On page 1, line 20, after "failure" insert --or fault--.

On page 1, line 28, delete "as far as possible" and "to" (second occurrence).

On page 2, line 13, change "I)" to --i)--, and change "start)," to --start).--.

On page 2, line 17, change "network," to --network.--.

On page 2, line 20, change "components, and" to --components.--.

On page 3, line 16, change "By application is meant"

to --Application denotes--.

On page 3, line 37, change "such as" to --for example,--.

On page 4, line 5, change "quite conceivable" to --possible--.

On page 4, line 9, change "can be found in the term" to --is--.

On page 4, line 18, change "one or a plurality of slaves" to --at least one slave--.

On page 4, line 25, after "which" insert --component is--.

On page 4, line 27, delete "the prior application", and change "German Patent" to --German Published Patent Application No.--.

On page 4, line 35, delete "even".

On page 5, line 4, after "German" insert --Published--.

On page 5, line 5, after "German" insert --Published--.

On page 5, line 5, after "Patent" (each occurrence) insert --Application No.--.

On page 5, delete line 7, and insert:
--The above-mentioned approach is--.

On page 5, line 8, delete "be", and change "that" to --because--.

On page 5, line 18, change "achieved" to --established--.

On page 6, delete lines 19-20, and insert:
--SUMMARY OF THE INVENTION--.

On page 6, line 21, change "known" to --conventional--.

On page 6, line 22, change "invention having the features of Claim 1" to --present invention--.

On page 6, line 29, change "question, the reset not being" to --question. The reset is not--.

On page 7, line 3, before "invention" insert --present--.

On page 7, line 4, change "preferably" to --for example,--.

On page 7, line 8, after "following" insert --text--.

On page 7, line 15, change "makes possible a" to --provides--, and after "states," insert --for example,--.

On page 7, line 22, delete "basically" and "so-called".

On page 7, line 27, change "carrying" to --performing--.

On page 7, line 28, change "out the reset" to --resetting or configuring--.

On page 7, line 31, change "re-actualize" to --are--.

On page 7, delete lines 32-34 and in their place insert --case reactualized after a change, and, after certain events, e.g., a failure in the sphere of the slaves, transmit startup info to the master.--.

On page 8, delete lines 1-5 and in their place insert --message. As the trigger proceeding from the master for this connection is set up, in turn, certain network management telegrams, referred to as watchdog telegrams, can be used, but also, for example, a circuit line controlled by the master.--.

On page 8, delete lines 12-14.

On page 8, line 15, change "a preferred refinement" to --an advantageous embodiment--.

On page 8, line 16, delete "is stored".

On page 8, line 17, after "component" insert --is stored--.

On page 8, line 19, change "preferred refinement" to --advantageous embodiment--.

On page 8, delete lines 23-30.

On page 8, line 32, change "preferred refinement" to --advantageous embodiment--.

On page 8, delete lines 36-37.

On page 9, delete lines 1-17.

On page 9, line 18, change "preferred" to
--advantageous--.

On page 9, line 19, after "the" insert --present--.

On page 9, delete lines 24-36, and insert:

--BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 shows a schematic representation of participating network components, a master connected to a slave, in accordance with a first embodiment of a method according to the present invention.

Figure 2 shows a temporal course of the method according to the present invention in accordance with the first embodiment, processing temporally from top to bottom in a rest of the slave as a consequence of a undervoltage.

DETAILED DESCRIPTION--.

On page 10, delete lines 1-8.

On page 10, delete line 14, and insert:

--Figure 1 shows a radio 1, a CD--.

On page 10, line 15, change "changer, 3" to
--changer 2--, and after "connection" insert --3--.

On page 10, line 16, change "4 and 5 each a" to
--two--, and after "memory" insert --4, 5--.

On page 10, line 18, change "master and" to

--master,--.

On page 10, line 19, delete "connected" and change "1" to --1,--.

On page 10, line 22, change "as well as" to --and--.

On page 10, line 35, change "2, 6 designates" to --2 shows--, and after "telegram" insert --6--.

On page 11, line 4, after "left" insert --represents--.

On page 11, line 5, after "line" insert --represents--.

On page 11, line 7, change "the Figure" to --Figure 2--.

On page 11, delete line 23, and insert:
--In response--.

On page 11, line 29, change "Associated with this is usually" to --Usually associated with these measures is--.

On page 11, line 30, delete "of" (first occurrence), and change "dismantling" to --disconnecting--.

On page 12, line 2, change "carrying out" to --performing--.

On page 12, line 8, delete "so-".

On page 12, line 9, delete "called".

On page 12, line 28, delete "then".

On page 12, line 30, change "message and," to
--message, and--.

On page 13, line 3, delete "background".

On page 13, line 4, delete "here".

On page 13, line 13, delete "of" (second
occurrence).

On page 13, line 16, delete "of".

On page 13, line 19, delete "or not".

On page 13, line 33, delete "in such a manner".

On page 13, line 34, after "example," insert --as--,
and after "or" insert --in--.

On page 13, line 37, delete "so-called".

On page 14, line 10, change "waking up by a slave,"
to --slave waking up--.

On page 14, line 20, delete "of" (first occurrence).

On page 15, line 8, change "carries out" to
--performs--.

On page 15, line 23, change "master, which" to
--master that--.

On page 16, line 3, change "sequence" to

--sequence,--.

On page 16, line 4, delete "could occur,".

On page 16, line 5, change "embodiment" to
--embodiment, could occur--.

On page 16, line 7, before "invention" insert
--present--.

On page 16, line 8, delete "possible".

On page 16, line 9, after "components" insert
--possible--.

On page 16, line 34, delete "is assumed, which".

On page 17, line 6, after "the" insert --present--.

On page 17, line 7, change "following" to --in
accordance with--.

On page 19, line 7, change "carried out" to
--performed--, and change "carried" to --performed--.

On page 19, line 8, delete "out".

On page 19, line 13, change "preferred" to
--advantageous--.

On page 19, line 17, after "of" insert --at least
one--.

On page 19, line 18, change "or a plurality of
slaves" to --slave--.

On page 19, line 21, change "one or a" to --at least one slave--.

On page 19, line 22, delete "plurality of slaves".

IN THE ABSTRACT:

Please replace the original abstract with the attached substitute abstract.

IN THE CLAIMS:

On page 21, delete line 1, and insert:
--What Is Claimed Is:--.

Please cancel, without prejudice, original claims 1-15 of the underlying PCT application. Please also cancel, without prejudice, substitute claims 1-7.

Please add the following new claims:

8. (New) A method for coordinating network components including at least one first logical component and at least one second logical component, each of the at least one first logical component and the at least one second logical component corresponding to a specific application and communicating with each other via a network on a communication plane, the communication plane being substantially independent from an application plane, the at least one first logical component and the at least one second logical component standing in a master slave relationship to each other, the method comprising the steps of:

in response to a specific event concerning at least one of the at least one first logical component and the at least one second logical component, establishing a communications connection between the at least one first

logical component and the at least one second logical component at an initiative of one of the at least one first logical component and the at least one second logical component;

transmitting an information message from the at least one second logical component to the at least one first logical component via the communications connection, the information message including at least information regarding a current application status of the at least one second logical component, the information of the transmitted information message being stored in a first nonvolatile memory of the at least one second logical component, a content of the first nonvolatile memory being actualized every time a change occurs in the application status of the at least one second logical component;

comparing the information of the transmitted information message in the at least one first logical component with information stored in a second nonvolatile memory of the at least one first logical component, the information stored in the second nonvolatile memory concerning at least one of the at least one first logical component and the at least one second logical component; and

coordinating the at least one second logical component on the application plane by the at least one first logical component as a function of a result of the comparison.

9. (New) The method according to claim 8, further comprising the step of:

storing the information of the transmitted information message in the second nonvolatile memory of the at least one first logical component.

10. (New) The method according to claim 8, further comprising the step of:

after a rest of an already started application, transmitting the information message to at least one of the at least one first logical component and the at least one second logical component.

11. (New) The method according to claim 8, further comprising the step of:

before the step of establishing, causing the at least one first logical component to reconstruct a state using the information of the transmitted information message.

12. (New) The method according to claim 8, wherein:

the step of coordinating includes one of the step of resetting on the application plane and the step of configuring on the application plane.

13. (New) The method according to claim 8, wherein:

the method is performed in an automotive on-board information system.

14. (New) The method according to claim 8, wherein:

the method is performed for at least one of network-wide failure location and failure diagnosis of the at least one first logical component and the at least one second logical component.

Remarks

This Preliminary Amendment cancels, without prejudice, original claims 1-15 in the underlying PCT Application No. PCT/DE98/03517. This Preliminary Amendment also cancels, without prejudice, substitute claims 1-7, and

adds new claims 8-14. The new claims conform the claims to U.S. Patent and Trademark Office rules and do not add new matter to the application.

The above amendments to the specification and abstract conform the specification and abstract to U.S. Patent and Trademark Office rules and incorporate changes made in the underlying PCT application, and do not introduce new matter into the application.

The underlying PCT Application No. PCT/DE98/03517 includes an International Search Report, dated June 9, 1999. The Search Report includes a list of documents that were uncovered in the underlying PCT Application. A copy of the Search Report is included herewith.

The underlying PCT Application No. PCT/DE98/03517 also includes a Preliminary Examination Report, dated March 13, 2000. An English translation of the Preliminary Examination Report is submitted herewith.

It is respectfully submitted that the subject matter of the present application is new, non-obvious, and useful. Prompt consideration and allowance of the application are respectfully requested.

Respectfully submitted,

By: *Richard L. Mayer* (Reg. No. 4,172)

Dated: 06/8/00

By: *Richard L. Mayer*
Richard L. Mayer
Reg. No. 22,490

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ABSTRACT

A method to coordinate network components is proposed. At least one first logical component and at least one second logical component are provided. Each of the components corresponds to a specific application and communicate with each other via a network on a communication plane that is substantially independent from an application plane. According to the method, a communications connection is established between the at least one first logical component and the at least one second logical component at the initiative of one of the at least one first logical component and the at least one second logical component, functioning in response to a specific event that concerns at least one of the at least one first logical component and the at least one second logical component. Moreover, an information message is transmitted via the established communications connection from the at least one second logical component to the at least one first logical component, the information message including at least information with respect to a current application status of the at least one second logical component. In addition, the information of the transmitted information message in the at least one first logical component is compared with corresponding information stored in a nonvolatile memory.

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[10191/1388]

A METHOD FOR COORDINATING NETWORK COMPONENTS

Background Information

5 The present invention relates to a method for coordinating or
for adjusting network components, in particular master and
slave components, at least one first logical component and one
second logical component being provided, each of which
corresponds to a specific application and which can
communicate with each other via the network on a
communications plane that is essentially independent of the
10 application plane.

15 The invention can be applied in wide areas of communications
technology, but it is explained in greater detail, by way of
example, only in relation to a CAN network system for use in
the automotive area.

20 In systems of this type installed in a motor vehicle, there
often arises the situation that only individual components of
the network system carry out a restart, i.e., reset, in
response to a failure. A typical trigger for a reset of this
type is the detection of an undervoltage condition, which can
vary from component to component.

25 From this arises the fundamental problem to define specific
communications mechanisms between the components, in order to
achieve a restarting of the distributed applications as
rapidly as possible. In this context, it is generally
advantageous as far as possible to reproduce or to coordinate
the state of the entire system before the reset. This is of
30 particular significance for systems that have user
interaction, for example via a display and operating element,
in order to minimize the disturbances triggered by resets
during operation, such as images on a display that are no

longer current, delays in the servicing of components, lost inputs, etc.

The problem underlying the present invention thus generally lies, in a network having distributed applications, in effectively coordinating the network components, corresponding to applications. This applies specifically to a restart after a failure in individual components.

In general, the following four cases must be detected and treated appropriately.

I) "Normal" start-up of all components (system start),

ii) Simultaneous failure in all components after systems start, e.g., as a result of an undervoltage for all components in the network,

iii) The failure in a partial system after system start, i.e., a failure condition in one or a plurality of components, and

iv) A hardware reset after a first power-on or fatal failure.

First, the specific problem of a reciprocal coordination or synchronization of distributed applications and the logical components that correspond to the latter will be explained in greater detail.

In systems in which components communicate with each other via a network, for example, a bus system, a specific basic prerequisite for the method described here is a separation of communication and application within one component (see ISO 7490, Information Processing Systems -- Open Systems Interconnection Basic Reference Model, 1984).

At issue here are systems that communicate via a network, e.g., a bus system, communication and application being

defined as follows.

Communication denotes all functions that are required for the purpose of reliable data exchange with other components.

Typically, a stratification is used that is derived from the OSI model of the ISO (see above), i.e., the conversion from the physical layer to the application interface. For in the current approaches in the automotive area, only one subset of the OSI model is used, adjusted to the requirements of this application area, i.e., some layers remain "empty."

Furthermore, as an expansion of the OSI model, a network management that includes the different layers is usually used, synchronizing the different components with respect to communication.

By application is meant the specific task of each component, e.g., the functionality of the CD player or the various functions of a car telephone.

In systems having at their disposal logical point-to-point (1:1) connections between the individual components, the "commands" of the application of a component A are relayed to component B via a connection of this type, component B then responding, e.g., with an application acknowledgment. An example is the activation, using an operating element, of a CD changer to play.

Advantageously, these 1:1 connections of the application plane are reproduced in the 1:1 connections of the transport layer (layer 4 in the OSI model). While the 1:1 transport connections can be set up or, in the case of failure, reset by both participating components, which corresponds to a symmetrical method, this does not apply to the application plane. Here, for example, only one component A -- the "master" -- is entitled to control one component B -- the "slave." This is especially true for switching the main states of the slave, such as "on" and "off."

Advantageously, a system of this type is based on network management, which also distinguishes between master and slave functionality. In this case, an "application master" is usually also simultaneously a "network management master." In addition, however, systems are quite conceivable that, in network management, recognize only equal-access stations, in which therefore the master/slave distinction is limited to the application plane. A typical example for the latter network management method can be found in the term "decentralized network management," on CAN networks in the chassis area of the automotive industry.

Unless otherwise expressly stated, in what follows the designations "master" and "slave" always apply to the application plane.

Therefore, a relatively simple system is composed of one master and one or a plurality of slaves, employing in each case a 1:1 connection between master and each slave. Of course, more complex systems can be constructed, that are composed of a plurality of master components having the same or even different slaves. In this context, however, the assumption is that for every logical connection, it is unambiguously established, which component is the master and which the slave. In this manner, a hierarchical system can then be formed out of master, sub master(s), and slaves, as is described in the prior application German Patent 196 373 12.

Usually, the master is largely responsible for the coordination of network-wide applications. For the slave, it is sufficient to detect the reset of the master, for example, via a network management service.

For the slave, this leads, for example, to the initiation of certain emergency functions or even to an autonomous shutdown.

The master detects the reset of the slave component either

through a cyclic querying of the slave status or through a fresh communication set up, initiated by the slave (e.g., a communication system having network management and a transport protocol in accordance with the prior applications, German Patent 41 31 133 or German Patent 196 373 12).

In the above-mentioned approach, the fact has been proven to be disadvantageous that the cyclic querying of the slave status by the master is cumbersome and communications-intensive, since usually no change of status is present. Furthermore, this querying mechanism is inflexible, since generally only components are queried that have already been installed.

Finally, a fresh communication setup by the slave, as an alternative to the above cyclic querying mechanism, brings with it the disadvantage that, except for the information that the communication has again been achieved, the master receives no messages concerning the cause of the reset and/or its prehistory, i.e., by way of example, the previous application status.

The type of local reset can be detected by the master, e.g., through the entry of local status information into a non-volatile memory, such as an EEPROM, and through evaluation of the entry at a subsequent restart.

If, after a reset, the master, for example, discovers the entry, "system started and in normal operation," then it can infer a restart as a result of a failure condition. On the other hand, if "system is shut down" is entered, then it is a question of a normal startup. In the case of a failure, the problem is the limited memory possibilities in the master.

In general, only the local status can be saved, since, for the status storage of all connected slaves, the time and/or the memory capacity is usually not sufficient. In addition, in the

event of a failure-reset of the master, having recourse to status information concerning the slave stored, for example, in an EEPROM is risky, since the slave could also have carried out a reset and thus the stored slave state deviates from the current state.

Since, in the above standard approaches, no detailed and stored status information concerning the slave is available, the master will generally restart or initialize the slave application.

Since, as a result, the previous settings generally must be reset, this signifies the loss of knowledge concerning the prehistory of the slave, i.e., the original operating state or status of the application can no longer be derived. Noticeable delays generally result from the restart of the slave application.

Advantages of the Invention

In contrast to the known approach, the method according to the invention having the features of Claim 1 has the advantage that it can efficiently reinstate the prior application state (application status), for example of a slave, before the occurrence of a failure at any point in the network.

In this manner, it is made possible, for example, to carry out a reset, if appropriate, only locally at the slave in question, the reset not being noticed by the user of the system -- for example, an automobile driver --, since a global reset can be dispensed with.

Through the controlled reset of the slave that has failed for a short time, there generally results a time advantage in comparison to the usual approaches, in which all running applications must be initialized once again. Furthermore, additional possibilities for network-wide diagnoses are

generated.

According to the invention, as a result of the transmission of the prehistory, preferably in connection with a network-status table in the master, a reliable detection of new components in the network is also possible without great configuration expense for the master. Since, in the information message according to the present invention which in the following is also designated as startup info, it is advantageously communicated as to whether a component is participating in the network operation for the first time, the master can react accordingly, e.g., by releasing new operating menus.

In addition, the method according to the present invention makes possible a locking of applications in certain states, in the event that more than one master accesses a slave. This applies especially to the case in which a master has brought the slave into a diagnosis mode. In this case, the slave is generally no longer controllable by a further master. For this master, this is also directly evident from the startup info, e.g., after a reset. In addition, the startup info is suitable basically for the transmission of so-called semaphores, which are important for the synchronization of distributed applications and for processes in the data-processing technical meaning.

The concept underlying the present invention lies in carrying out the reset on the application plane by the master or masters, taking into account the currently reported application status, through the fact that the slave or slaves save their current status in a memory, re-actualize, in each case, after a change, and transmit startup info to the master after certain events, e.g., a failure in the sphere of the slaves.

In this context, the successful set up of the 1:1 connection between master and slave is used as the trigger for the latter

message. Certain network management telegrams, hereinafter designated as watchdog telegrams, but also, for example, a circuit line controlled by the master, can in turn be used as the trigger proceeding from the master for this connection setup.

Furthermore, this trigger can also be grounded in the slave. A typical example is the waking up of a network by a telephone component. In this case, the initiative to the connection setup proceeds solely from the slave.

In the subclaims, there can be found advantageous refinements and improvements of the method indicated in Claim 1.

In accordance with a preferred refinement, the information of the transmitted information message is stored in the first logical component in the non-volatile memory.

According to a further preferred refinement, the first logical component uses the information of the transmitted information message to reconstruct the state before the setup of the communications connection.

According to a further preferred refinement, the information message contains information regarding the initiative to set up the communications connection.

According to a further preferred refinement, the first and second logical components stand in a master-slave relationship to each other.

According to a further preferred refinement, the information message, after a reset in an application that has already been started, is transmitted to at least one of the first or the second logical components.

According to a further preferred refinement, the information

message contains information concerning whether the second component has carried out a hardware reset.

According to a further preferred refinement, certain information that becomes the content of the information message is stored in a non-volatile memory of the second logical component.

According to a further preferred refinement, the content of the non-volatile memory of the second logical component is actualized in each case that a change of the application status of the second logical component arises.

According to a further preferred refinement, the information message contains further information regarding the prehistory of the second component.

Particularly preferred applications of the method according to the invention lie in measuring and/or monitoring systems, in automobile on-board information systems, and in network-wide failure location and/or failure diagnoses of network components of systems of this type.

Drawings

Exemplary embodiments of the invention are depicted in the drawings and are explained in greater detail in the following description.

The contents are as follows:

Figure 1 depicts a schematic representation of the participating network components in accordance with a first embodiment of the method according to the invention, namely a master, which is connected to a slave.

Figure 2 depicts the temporal course of the method according to the invention in accordance with the first embodiment, progressing temporally from top to bottom in a reset of the slave as a consequence of an undervoltage.

Description of the Exemplary Embodiments

Figure 1 depicts a schematic representation of the participating network components in accordance with a first embodiment of the method according to the invention, namely a master, which is connected to a slave.

In Figure 1, reference numeral 1 designates a radio, 2 a CD changer, 3 a communications connection between radio 1 and CD changer 2, and 4 and 5 each a non-volatile memory.

The relatively simple system contains radio 1 as master and connected CD changer 2 as slave of radio 1 and a communications connection 3 connecting both network components 1, 2 to each other, in the form of a bus line. In addition, both radio 1 as well as CD changer 2 have at their disposal non-volatile memory 4 and 5, respectively, which could be realized, for example, in the form of buffered SRAMs or EEPROMs. Memories 4, 5 function to store data, whose evaluation by master 1 functions to coordinate network components 1, 2.

Figure 2 depicts, in schematic form, the temporal course of the method according to the present invention in accordance with the first embodiment, progressing temporally from top to bottom in a reset of the slave as a consequence of an undervoltage.

In Figure 2, 6 designates a watchdog telegram, i.e., a request of radio 1 to CD changer 2, to set up or maintain a communication.

The left exterior line represents application interface 1A of radio 1, the second line from the left represents communications interface 1K of radio 1, the third line from the left communications interface 2K of CD changer 2, and the right exterior line application interface 2A of CD changer 2.

In the beginning, and in the Figure above t_1 , the system is initialized and both components, radio 1 and CD changer 2, are in operation. In CD changer 2, its status, for example, "Play," is stored in non-volatile memory 5. From radio 1, watchdog telegrams 6 are cyclically transmitted to CD changer 2.

At time point t_1 itself, an operational breakdown occurs, here an undervoltage in CD changer 2, which makes a reset of CD changer 2 necessary. As soon as this undervoltage is detected as such, in addition to the already stored current application state "Play" of CD changer 2, the desired further information concerning its prehistory, e.g., "failure in slave," as well as the last position of the CD scanning system, is stored in its non-volatile memory 5.

In this regard, it should be also explained that in response to an undervoltage arising in a component, the supply voltage in general does not fall to zero volts. The reason for this is a buffering of the supply voltage. In response to light undervoltages, certain measures can be initiated for saving current data in the non-volatile memory (if available). Associated with this is usually a reset of the application and/or of the communication (e.g., dismantling of the logical connections). If the voltage once again increases, then the application and, if appropriate, the communication must be re-initialized ("warm start").

In this context, initialization of the application signifies a preparation of the application and, if appropriate, a start of the application (generally no autonomous application start in

a slave). The initialization of the communication signifies the carrying out of preparations for communication with other network components, e.g., a connection setup.

5 Only if the supply voltage falls below a critical value is there a danger of a hardware reset of the microcontroller as well as the loss of all data in the volatile memory (RAM). After a hardware reset, the components must carry out a so-called "cold start," in which, generally, a specific
10 initialization routine must be traversed. A basic initialization of this type relates to the components on the lowest plane, e.g., setting/re-setting of the μ C ports, etc. If, for example, in this routine, a flag is set in RAM, the possibility exists of distinguishing a cold start (loss of all data if no non-volatile memory is available) from a warm start (partial loss of data). The concept 'reset' should therefore
15 not be equated necessarily with a hardware reset of the microcontroller.

20 CD changer 2 at t_2 now receives a watchdog telegram 6 from radio 1 and consequently sets up the connection to radio 1 again.

At t_3 , radio 1 acknowledges the connection to CD changer 2.

25 Connected to this is a re-initialization of the communications connection in radio 1.

At t_4 , CD changer 2 then transmits the information message, the start-up info, to radio 1. Radio 1 processes this information
30 message and, as a consequence, transmits to CD changer 2 at t_5 the appropriate application messages to restore the previous state before the occurrence of the undervoltage, for example, the commands "switch on" and "play." Thus the state is restored in which the system was before the onset of the
35 undervoltage, disturbing the system.

As support for the functional sequence described here, it

should be noted that as a rule it is not useful that the slave attempts on its own to restore its prior state completely. The background reason is that it is possible that a failure has occurred in the master as well (or in another, here
5 undepicted, component), so that the various applications are no longer synchronized. For example, it would not be sensible if the CD changer were to restore its prior state "play" autonomously, but the radio, as a result of its internal reset, were no longer to process the audio signals of the CD
10 changer.

The essential content of the information message, "start-up info," is the current application state of CD changer 2 or of the slave, to the extent that the content is significant for
15 the operation of CD changer 2 from the point of view of radio 1 or of the master. Significant application main states, from the point of view of this master, are, for example, on/off, i.e., they indicate to radio 1 whether the slave application is available for the master or not.

However, other specific application states can also be activated and, accordingly, reported to the master, such as
20 the restitution of a CD changer (play) in the present exemplary embodiment or perhaps a diagnosis mode.

Further specific states of the slave can denote that the slave possesses only a limited functional scope, since, for example, the application software must still be loaded from a CD-ROM or because a locking of the slave exists on the part of other
25 30 logical connections, as was mentioned above.

Likewise, diverse states of the human-machine interface of the slave can be displayed to the master in such a manner, for example, menus or windows on a screen, or the current layout
35 of the softkeys in the system.

An optional constituent component is the so-called

initialization initiation. This is a constituent component that indicates who ultimately has initiated the setup of the communications connection.

5 This initiation can be grounded both in the master as well as in the slave. In the first case, which represents the normal use and underlies the above example, the master provides the initialization initiation, e.g., through a network management mechanism in the form of a watchdog telegram. An example for
10 the second case is a waking up by a slave, which, for example, is triggered by the connection-setup-telegram of the slave. Based on the transmitted information "initialization initiation by the slave," the master in the latter case can interpret the unexpected communication setup by the slave as a
15 wake-up and can distinguish it from failure cases.

A further optional constituent component describes the prehistory of the application. In this case, the session was ended by a failure, resulting in a reset of the communication as well as of the application due to the occurrence of an
20 undervoltage of CD changer 2. Therefore, the constituent component has the meaning "failure in the slave," and can be signaled by a flag or another sign.

25 In another case, if the application in the prior session, i.e., in the prior contiguous time period having a valid 1:1 connection between radio 1 and CD changer 2, was abandoned normally, for example, through a switch-off command, the content of the constituent component could have the meaning
30 "OK."

If CD changer 2 is on the network for the first time, then the content of constituent component could have the meaning "new." A sign of this type is important for a basic initialization
35 after a replacement or a re-installation, or for a diagnosis after a fatal failure.

In the transmission of the prehistory, it is important to note that the start-up info exclusively reflects the point of view of the slave. Only a comparison with the data stored in the master makes possible a final statement concerning the prehistory of the system.

It is generally true that application and communication are equally initialized only in the component that carries out a reset during the operation. On the other hand, in the connected component, here radio 1 as master, only a reset of the communication is carried out. The reset is necessary to synchronize the 1:1 communications connection once again. The application of the master is normally not directly affected by the reset of other components.

An initialization of the communication is therefore possible even without an initialization of the application. In a 1:1 connection, in the case of failure, a reset of the communication layers takes place on both sides, whereas the application, for example, is reset only on one side.

Thus the method is based on an automatic report of the slave to the master, which contains the current state of the slave component as well as its prehistory, initiated by a specific, unambiguous communications event. This can be triggered by the occurrence of the undervoltage in CD changer 2 or by the reset of CD changer 2.

On the basis of all start-up information of the connected slaves as well as the local data contained in nonvolatile memory 4 of the master, master 1 can now very efficiently reconstruct the prior application state after a failure.

If the component, deviating from the exemplary embodiment, reports that it is new to the network, then a comparison with the stored data of the master indicates whether this component had a failure, for example, an extreme undervoltage, a fatal

software failure, or the like, which would be important, for example, for a system diagnosis, or whether it is truly new to the network. In this case, a specific configuration sequence could occur, which is explained further below in an exemplary embodiment.

In summary, the method according to the invention, in this exemplary embodiment, makes possible an event-oriented flagging or memory function of the components and contains the following mechanisms.

Immediately after the successful (re)setup of the 1:1 connection to the master, as a first application message, a slave automatically transmits to every master associated with it a special information message having status information ("start-up info") in the following cases:

- after the successful local initialization or local reset of the communication layers and
- after a successful reset of the communication layers by the master.

In this context, it should be taken into account that fundamentally either -- master or slave -- can begin reciprocal communication independent of its hierarchical embedding in the overall system of the network.

Since the prehistory can be derived from the master on the basis of the start-up info of the slaves, additional possibilities for a network-wide diagnosis are generated.

In the following second exemplary embodiment, a further system is assumed, which is composed of a master component of any type and two slave components of any type, and, in a further transformation described below, three slave components of any type.

Initially, in addition to the master, two further components A, C -- slaves of the master -- are located in the network. A further component B is known to the master, but it is not (yet) connected.

5

The method according to the invention can be described for this system following Figure 2.

10 Depicted by way of example is a network status table, stored in the nonvolatile memory of the master, having configuration, connection, and application states of the known slave.

known slaves	network configuration	connection status	application status
component A	"present"	"connection set up"	"application on"
component B	"not available"	"no connection"	"not available"
component C	"present"	"connection set up"	"application off"

5

20

The network status table was kept as simple as possible for the purpose of better intelligibility. Normally, a table of this type contains far more information, e.g., configuration data for the individual connections.

25

For reasons of simplicity, this example only cites the application main states "on" and "off" or "not available." In addition to the information in this network status table, the master also stores its own prehistory.

30

In a modification of the aforementioned example (reconnection of a slave), the master, after a connection setup, receives the following "start-up info" from component B:

B to master: "B for the first time in the network, application state 'off'."

The master, on the basis of this information, can start a special configuration sequence. The network status table then is changed as follows:

known slaves	network configuration	connection status	application status
component A	"present"	"connection set up"	"application on"
component B	"present"	"connection set up"	"application off"
component C	"present"	"connection set up"	"application off"

From this time point on, the master is in a position to start the application of component B and to control all available functions.

In the following, an exemplary failure case is depicted.

In a further modification of the aforementioned system state, the master, after a fresh connection setup of components A and C, receives the following "start-up information":

A to master: "A was already in the network, application 'off'."

C to master: "C is in the network for the first time, application 'off'."

After a comparison with the stored information, the master can now once again directly reconstruct the prior state through

selected application messages (in this simple example, only one message is necessary):

Master to A: "Application 'on'."

5

Furthermore, the master can determine that component A has carried out a "warm start" and component C has even carried out a "cold start," since component C is already present in the network. This data can be managed using special failure counters and can be evaluated by diagnosis software.

10

Although the present invention was described above on the basis of preferred exemplary embodiments, it is not limited to them, but rather can be modified in many ways.

5

Thus the method according to the present invention can also be employed for more complex systems, in which the master of one or a plurality of slaves is in turn the slave of master on a higher plane.

20

It is likewise applicable in systems in which one or a plurality of slaves is assigned to more than only one master.

25

Also, the method according to the present invention can be used in networks having client-server architectures, the client generally taking over a certain master functionality.

30

Furthermore, in monitoring and failure diagnosis systems, it can, in principle, be used in many technical, networked devices. In particular, in the automotive area, the most various application possibilities arise, in this context, in failure monitoring and failure diagnosis by networked electronic control units.

35

Similarly, the communications connections between the components can be realized by wireless connections.

5

1	first logical component, master (radio)
2	second logical component, slave (CD changer)
3	communications connection
4	non-volatile memory
5	preferably non-volatile memory
6	watchdog telegram

Patent Claims

1. A method for coordinating network components (1, 2), at least one first logical component (1) and one second logical component (2) being provided, each of which corresponds to a specific application and which are able to communicate with each other via the network on a communications plane that is essentially independent from the applications plane, having the steps:

Setting up a communications connection between the first and the second logical components (1, 2) at the initiative of one of the first or the second logical components (1, 2), functioning in response to a specific event that concerns the first and/or second logical component (1, 2);

Transmitting an information message via the established communications connection from the second logical component (2) to the first logical component (1), the information message containing at least information with respect to the current application status of the second logical component; and

Comparing the information of the transmitted information message in the first logical component (1) with corresponding information stored there in a nonvolatile memory (4).

2. The method as recited in Claim 1, characterized in that the information of the transmitted information message in the first logical component (1) is stored in the nonvolatile memory (4).

3. The method as recited in Claim 1 or 2, characterized in that the first logical component (1) uses information of the transmitted information message to reconstruct the state before the setup of the communications connection.

4. The method as recited in one of the preceding claims, characterized in that the information message contains information with regard to the initiative to set up the communications connections.

5. The method as recited in one of the preceding claims, characterized in that the first and the second logical components (1, 2) stand in a master-slave relationship to each other.

6. The method as recited in one of the preceding claims, characterized in that the information message, after a reset in an already started application, is transmitted to at least one of the first or the second logical components (1, 2).

7. The method as recited Claim 6, characterized in that the information message contains information concerning whether the second component (2) has carried out a hardware reset.

8. The method as recited in one of the preceding claims, characterized in that specific information which becomes the content of the information message is stored in a nonvolatile memory (5) of the second logical component (2).

9. The method as recited in Claim 8, characterized by the step that the content of the nonvolatile memory (5) of the second logical component (2) is actualized every time a change occurs in the application status of the second logical component (2).

10. The method as recited in Claim 8 or 9, characterized in that the information message contains further information regarding the prehistory of the second component (2).

11. Carrying out the method as recited in one of the preceding claims in a hierarchically set up system of network components, of which the first logical component (1) exercises a master function for one or a plurality of second logical

components (2).

12. Carrying out the method as recited in Claim 11 in a hierarchically set up system of network components, of which the second logical component (2) exercises a master function for one or a plurality of first or second logical components (1, 2).

13. An application of the method as recited in one of the preceding claims in measuring and/or monitoring systems and/or control systems in manufacturing technology.

14. An application of the method as recited in one of the preceding claims in automotive on-board information systems.

15. An application of the method as recited in one of the preceding claims for network-wide failure location and/or failure diagnosis of network components (1, 2).

Abstract

A method is proposed to coordinate network components (1, 2), at least one first logical component (1) and one second logical component (2) being provided, each of which corresponds to a specific application and which communicate with each other via the network on a communications plane that is essentially independent from the applications plane, having the steps: setting up a communications connection between the first and the second logical components (1, 2) at the initiative of one of the first or the second logical components (1, 2), functioning in response to a specific event which concerns the first and/or second logical component (1, 2); transmitting an information message via the established communications connection from the second logical component (2) to the first logical component (1), the information message containing at least information with respect to the current application status of the second logical component; and comparing the information of the transmitted information message in the first logical component (1) with the corresponding information stored in a nonvolatile memory (4).

(Figure 1)

Fig. 1

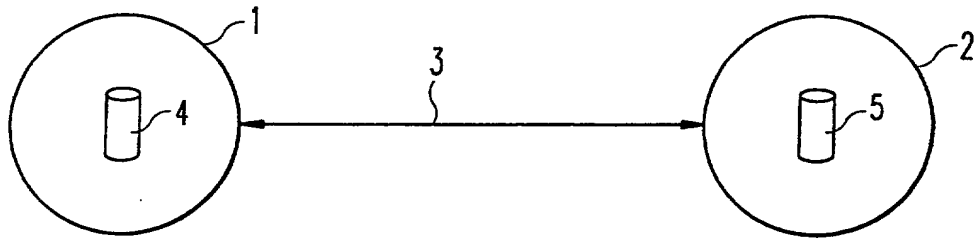
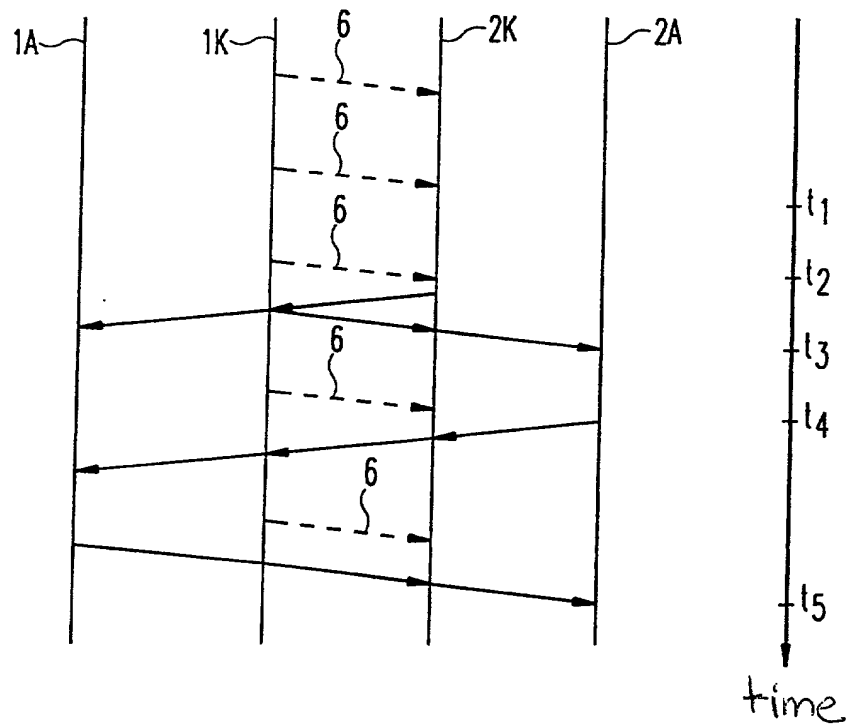


Fig. 2



**COMBINED DECLARATION AND
POWER OF ATTORNEY FOR PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below adjacent to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled **A METHOD FOR COORDINATING NETWORK COMPONENTS** and the specification of which:

- ☐ is attached hereto;
- ☐ was filed as United States Application Serial No. _____ on _____, 19__ and was amended by the Preliminary Amendment filed on _____, 19__.
- ☒ was filed as PCT International Application Number PCT/DE98/03517, on the 30th day of November, 1998
- ☒ an English translation of which is filed herewith.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a). I hereby claim foreign priority benefits under Title 35, United States Code § 119 of any foreign application(s) for patent or inventor's certificate or of any PCT international applications(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

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AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. § 119**

Country : Federal Republic of Germany

Application No. : 197 54 640.4

Date of Filing: December 9, 1997

Priority Claimed

Under 35 U.S.C. § 119 : ☒ Yes ☐ No

I hereby claim the benefit under Title 35, United States Code § 120 of any United States Application or PCT International Application designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations § 1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application:

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U.S. APPLICATIONS

Number :

Filing Date :

**PCT APPLICATIONS
DESIGNATING THE U.S.**

PCT Number :

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I hereby appoint the following attorney(s) and/or agents to prosecute the above-identified application and transact all business in the Patent and Trademark Office connected therewith.

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

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